

For office use only

THE UNIVERSITY OF TENNESSEE TECHNOLOGY DISCLOSURE FORM

For office use only

1. TITLE OF THE TECHNOLOGY.

2. DESCRIBE THE TECHNOLOGY BRIEFLY. *(If necessary, attach a manuscript, a drawing, an abstract, or any other materials that would assist in the understanding of the technology.)*

3. CONTRIBUTORS/AUTHORS/POSSIBLE INVENTORS. *(The individual who is named in Section A.1 is designated as the primary contact for additional information and for all correspondence. If needed, please attach additional contacts in the space provided on page 4.)*

A. Contributors whose primary affiliation at the time of invention was The University of Tennessee

(1) Name _____
Title _____ Dept. _____
Work address _____
Work phone _____ e-mail _____

(2) Name _____
Title _____ Dept. _____
Work address _____
Work phone _____ e-mail _____

(3) Name _____
Title _____ Dept. _____
Work address _____
Work phone _____ e-mail _____

B. Contributors whose primary affiliation at the time of invention was other than The University of Tennessee

(1) Name _____
Title _____ Dept. _____
Work address _____
Work phone _____ e-mail _____

(2) Name _____
Title _____ Dept. _____
Work address _____
Work phone _____ e-mail _____

4. LIST ALL SOURCES OF FUNDING OR SPONSORSHIP OF THE WORK WHICH LED TO THE INVENTION.

- A. 1) Agency name _____ Contract or grant no. _____
Principal Investigator _____ Dept. _____
Type of Funding: Federal _____ University _____ Private _____ Other _____
- 1) Agency name _____ Contract or grant no. _____
Principal Investigator _____ Dept. _____
Type of Funding: Federal _____ University _____ Private _____ Other _____

- B. Did you use any material in the development of this technology that was acquired from a third party and was subject to a Material Transfer Agreement? Yes _____ No _____
- C. During the period of time when this technology was being developed, did any of the contributor(s) receive salary support from the Department of Veterans Affairs ("VA")? Yes _____ No _____
Were any VA funds or facilities used in the course of work which led to this invention? Yes _____ No _____

5. DISCLOSURE. Please include both past disclosure and anticipated future disclosure of the technology.

A. Conferences/Journals. (Please note that not all authors of a manuscript will necessarily qualify as inventors of the technology)

- (1) Conference or Journal: _____
Title of abstract or manuscript: _____
Author(s) _____
(Anticipated) Date of submission/acceptance/publication _____
- (2) Conference or Journal: _____
Title of abstract or manuscript: _____
Author(s) _____
(Anticipated) Date of submission/acceptance/publication _____

B. Theses and dissertations. Include any thesis or dissertation describing the technology that has been submitted to meet the requirements of graduation.

- (1) Title of thesis or dissertation _____
Author _____ (Anticipated) Date of graduation _____

C. Offer for sale or public use.

- (1) Has any embodiment of this technology been offered for sale (i.e., has a "thing" embodying the technology or capable of performing the technology been offered for sale)? Yes _____ No _____
If so, when? _____ To whom and under what circumstances? _____
- (2) Has any embodiment of this technology been used publicly? _____
If so, when? _____ Under what circumstances? _____

D. Other.

Has any other disclosure of the invention (written or oral) been made to a third party who is not bound by a written obligation of confidentiality?
If so, when? _____ To whom and under what circumstances? _____

6. WITNESS. This individual should be sufficiently knowledgeable in the field to enable him or her to understand the invention; a faculty member or research associate in the same department is usually a good choice if he or she is not listed as a contributor to this invention.

I have read and understood the foregoing disclosure.

Signature

Title

Date

7. ALLOCATION OF RIGHTS IN THE INVENTION. (*Applies only to those contributors who are subject to The University of Tennessee's Policy on Patents, Copyrights, and Other Intellectual Property.*)

Instructions:

- (1) Print your name.
- (2) After discussion with the other UT contributors, specify your percentage of the income accruing to the UT contributors as a result of this technology. The percentages assigned to each of the UT contributors should total 100%. If there is only one UT contributor, the percentage allocated to him/her should be 100%. If there are more than six UT contributors, please include additional individuals in the space provided on page 4. (Please note that division of income among the UT contributors will be revisited if it is determined in accordance with U.S. patent law that there are one or more additional inventors not listed on this disclosure form. In all cases, UTRF will enter into a formal income-sharing agreement with the contributors.
- (3) Check either "Yes" or "No" in the "Duty of Employment" category by your signature. The University of Tennessee does not claim rights in technology that is not developed in performing the duties of employment by the University or with substantial use of University funds or facilities. That determination is made on an individual basis with regard to each contributor. By checking "Yes", you are asserting that in your opinion, your contribution to this technology was made in performing the duties of employment by the University or through the substantial use of facilities or funds provided by the University. By checking "No", you are asserting that in your opinion, your contribution was not made in the course of University employment or with substantial use of University funds or facilities.
- (4) Sign the form, and date your signature. By your signature, you are asserting that all statements made in this technology disclosure form are correct to the best of your knowledge.

Print Name	Signature	%	Date	Yes: ___ No: ___ Duty of Employment
Print Name	Signature	%	Date	Yes: ___ No: ___ Duty of Employment
Print Name	Signature	%	Date	Yes: ___ No: ___ Duty of Employment
Print Name	Signature	%	Date	Yes: ___ No: ___ Duty of Employment
Print Name	Signature	%	Date	Yes: ___ No: ___ Duty of Employment
Print Name	Signature	%	Date	Yes: ___ No: ___ Duty of Employment

8. COMMENTS BY DEPARTMENT HEAD:

COMMENTS BY DEPARTMENT HEAD:
(To be used only if there are contributors from different departments)

Signature of Dept. Head Date

Signature of Dept. Head Date

9. COMMENTS BY DEAN:

COMMENTS BY DEAN:
(To be used only if there are contributors from different colleges)

Signature of Dean Date

Signature of Dean Date

10. COMMENTS BY CAMPUS RESEARCH/ADMINISTRATIVE OFFICER:

There are ___/ are not ___ contractual restrictions precluding an assignment of this invention by the University to UTRF.

Signature of Campus Research Officer Date

11. ACTION TAKEN BY THE UNIVERSITY'S PATENT, COPYRIGHT, AND INTELLECTUAL PROPERTY COMMITTEE:

___ Ownership assigned to UTRF ___ Ownership assigned to or to remain with contributor(s)
___ Ownership assigned to sponsor pursuant to contractual obligations ___ Other _____

Signature of Chairman, PCIP Committee Date

USE SPACE BELOW FOR ADDITIONAL CONTRIBUTORS (IF NECESSARY):

Name _____
Title _____ Employer _____
Work address _____
Work phone _____ e-mail _____

Name _____
Title _____ Employer _____
Work address _____
Work phone _____ e-mail _____

Name _____
Title _____ Employer _____
Work address _____
Work phone _____ e-mail _____

Name _____
Title _____ Employer _____
Work address _____
Work phone _____ e-mail _____

ADDITIONAL RECOMMENDATIONS OF CONTRIBUTOR(S) (IF NECESSARY):

Print Name *Signature* _____%_____
Date Yes: ___ No: ___
Duty of Employment

Print Name *Signature* _____%_____
Date Yes: ___ No: ___
Duty of Employment

Print Name *Signature* _____%_____
Date Yes: ___ No: ___
Duty of Employment